

# Probability and Graphs

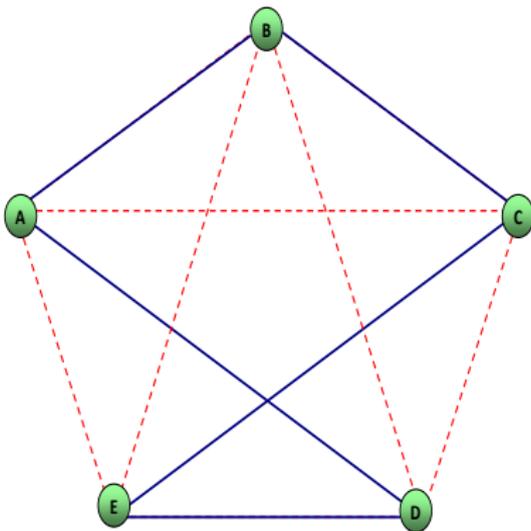
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# Ramsey Number

- ▶ *Ramsey Number*  $R(k, l)$  is the smallest integer  $n$  such that in any two-colouring of the edges of a complete graph on  $n$  vertices  $K_n$  by red and blue, either there is a red  $K_k$  or there is a blue  $K_l$ .

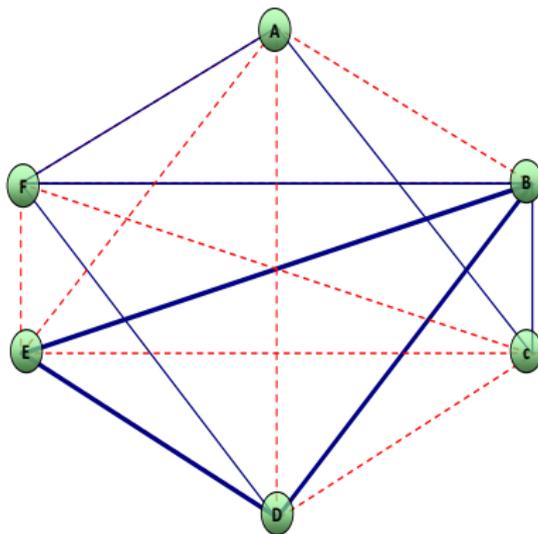
Example ( $K_5$ )



$K_5$  need not have a monochromatic triangle.

- ▶ Ramsey (1929) showed that  $R(k, l)$  is finite for any two integers  $k$  and  $l$ .

Example ( $R(3, 3) = 6$ )



$K_6$  will have a monochromatic triangle.

- ▶ We propose to obtain a lower bound on the diagonal Ramsey Numbers  $R(k, k)$ .

- ▶ We now proceed to prove, step by step, that

$$R(k, k) > \lfloor 2^{\frac{k}{2}} \rfloor, \forall k \geq 3.$$

- ▶ Let  $S$  denote a fixed set of  $k$  vertices. Let  $A_S$  denote the event that the induced subgraph of  $K_n$  on  $S$  be monochromatic; then

$$P[A_S] = 2^{1-\binom{k}{2}}.$$

- ▶ Note that there are  $\binom{n}{k}$  choices for such an  $S$ .

- ▶ So the total probability  $q(n, k)$  of the event that at least one induced subgraph of  $k$  vertices on  $K_n$  is monochromatic is

$$q(n, k) \equiv \binom{n}{k} 2^{1-\binom{k}{2}}.$$

- ▶ Suppose, we indeed choose  $n$  and  $k \geq 3$  such that  $q(n, k) < 1$ .
- ▶ Then, with positive probability, none of the  $A_S$ 's occur i.e., there is a two-colouring of  $K_n$  without a monochromatic  $K_k$  i.e.,

$$R(k, k) > n.$$

- ▶ Let the choice of  $n$  and  $k \geq 3$  be  $n = \lfloor 2^{\frac{k}{2}} \rfloor$ .

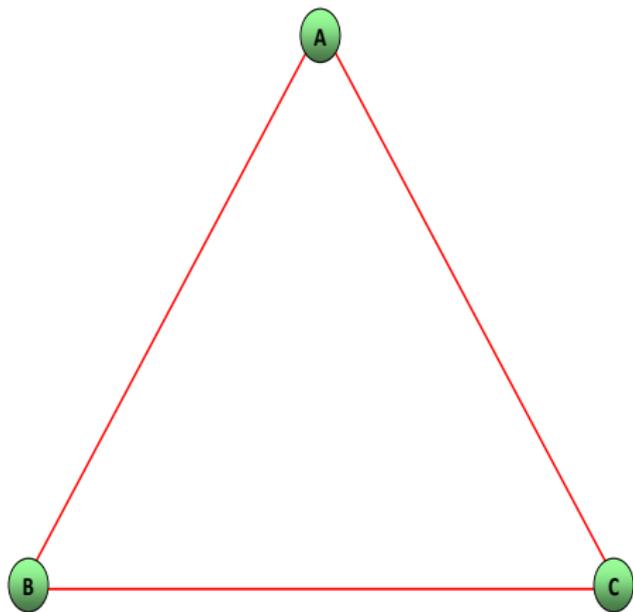
- ▶ Then,  $q(n, k) < \frac{2^{1+\frac{k}{2}}}{k!} \left(\frac{n}{2^{\frac{k}{2}}}\right)^k < 1$ .

- ▶ So,  $R(k, k) > \lfloor 2^{\frac{k}{2}} \rfloor, \forall k \geq 3$ .

## Crossing Number and Szemerédi-Trotter Theorem

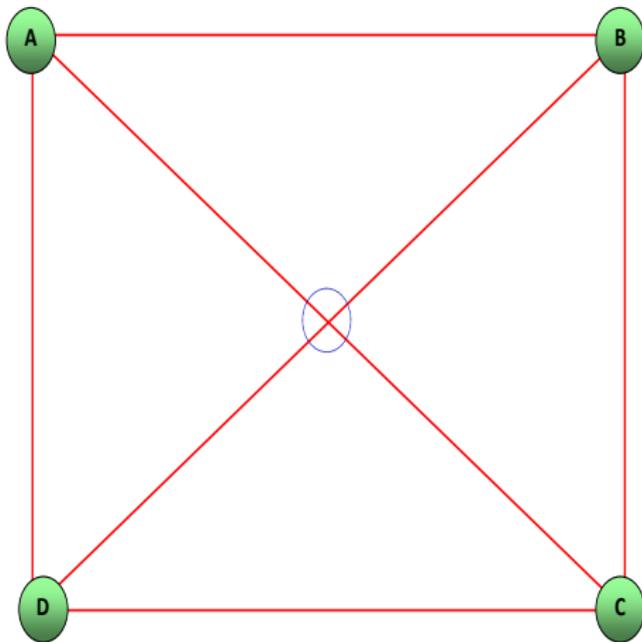
- ▶ An **embedding** of a graph  $G = (V, E)$  in the plane is a planar representation of it, where **each vertex is represented by a point in the plane**, and **each edge  $(u, v)$  is represented by a curve connecting the points** corresponding to the vertices  $u$  and  $v$ .
- ▶ The **crossing number** of such an embedding is the **number of pairs of intersecting curves that correspond to pairs of edges with no common endpoints**.
- ▶ The **crossing number  $cr(G)$**  of  $G$  is the **minimum possible crossing number in an embedding of it in the plane**.

## Example ( $K_3$ )



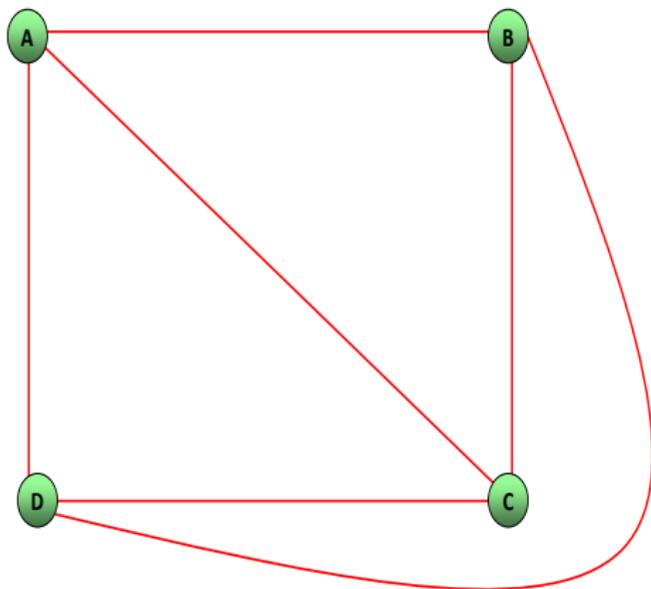
In every planar embedding the graph  $K_3$  has crossing number 0. Hence it is a planar graph.

Example ( $K_4$ )



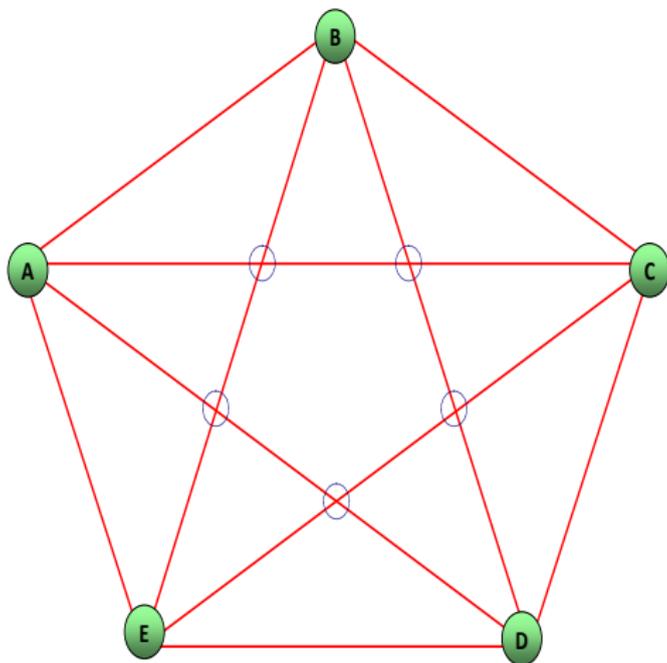
The graph  $K_4$  has crossing number 1 !!!

Example ( $K_4$ )



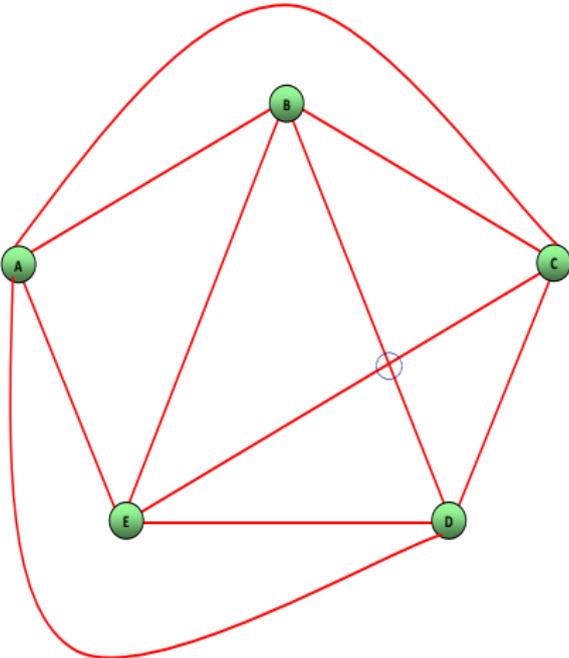
The graph  $K_4$  actually has crossing number 0 !!! It is a planar graph.

Example ( $K_5$ )



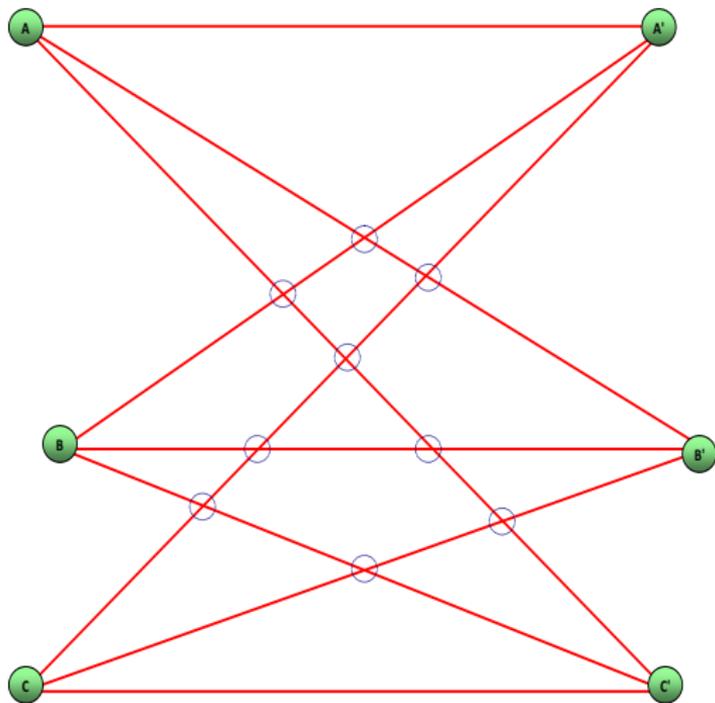
The graph  $K_5$  has crossing number 5 !!!

Example ( $K_5$  has crossing number 1 !!!)



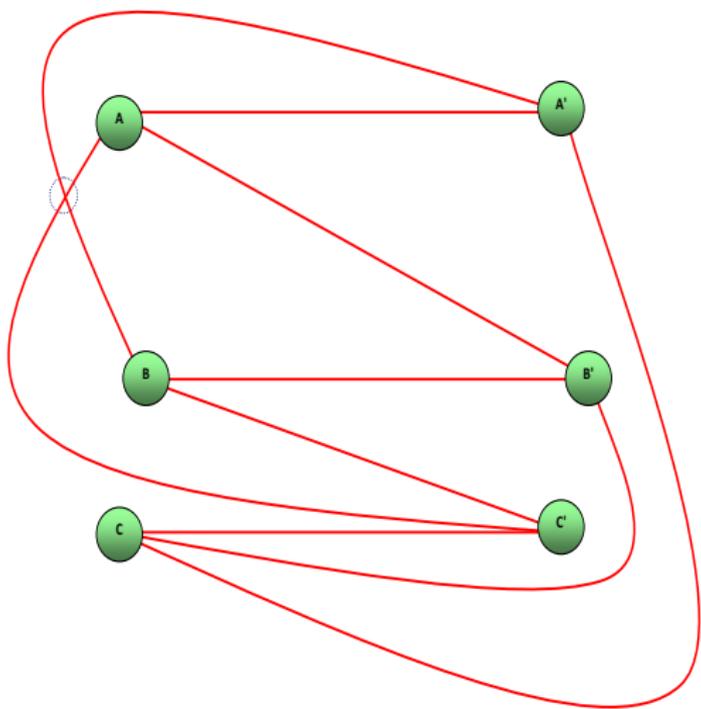
In every planar embedding the graph  $K_5$  has at least a pair of edges crossing. Hence, it is a non-planar graph.

## Example ( $K_{3,3}$ )



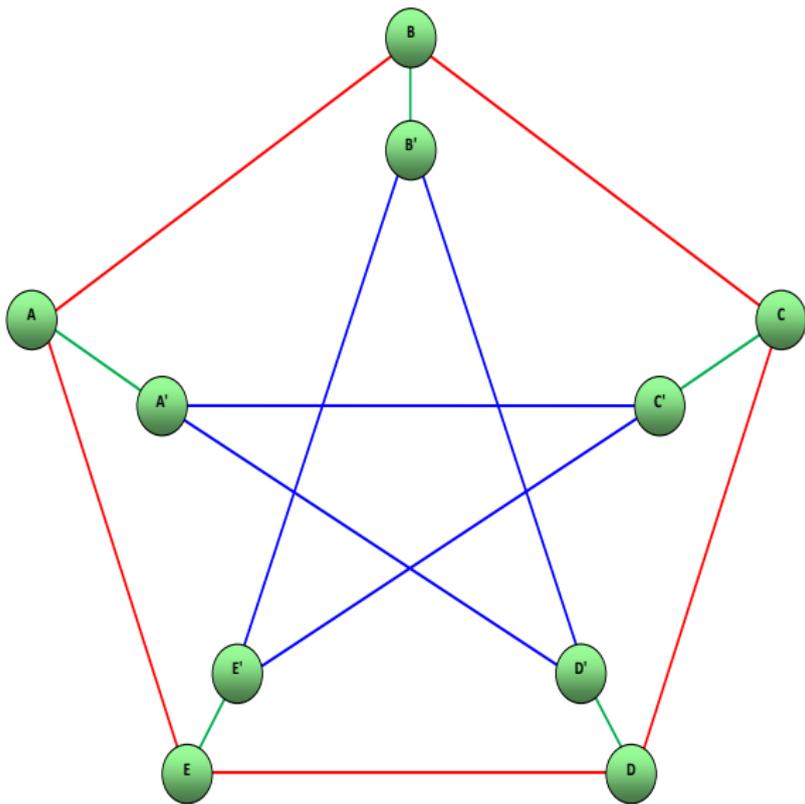
The crossing number of  $K_{3,3}$  is 9 !!!

Example ( $K_{3,3}$  has crossing number 1)



Hence, it is a non-planar graph.

## Example (Petersen Graph)



Famous example of a non-planar graph

- ▶ **Theorem (Kuratowski, 1930):** A graph is planar iff it has no subgraph homeomorphic to  $K_5$  or  $K_{3,3}$ .
- ▶ The following **Crossing Number Theorem** was proved by Ajtai, Chvátal, Newborn and Szemerédi (1982) and independently, by Leighton:

The crossing number of any simple (i.e., with no multi-edges or no self-loops) graph  $G = (V, E)$  with  $|E| \geq 4|V|$  is at least  $\frac{|E|^3}{64|V|^2}$ .

- ▶ Let us describe a short probabilistic proof of this theorem.
- ▶ **Euler's Formula:** For any spherical polyhedron, with  $V$  vertices,  $E$  edges and  $F$  faces,

$$V - E + F = 2.$$

- ▶ Any maximal planar (i.e., one to which no edge can be added without losing planarity) graph will have triangular faces implying

$$3F = 2E.$$

- ▶ Hence for any simple planar graph with  $V = n \geq 3$  vertices, we have

$$E = V + F - 2 \leq V + \frac{2}{3}E - 2 \Rightarrow E \leq 3n - 6,$$

implying that it has at most  $3n$  edges.

- ▶ Therefore, the crossing number of any simple graph with  $n$  vertices and  $m$  edges is at least  $m - 3n$ .
- ▶ Let  $G = (V, E)$  be a graph with  $|E| \geq 4|V|$  embedded in the plane with  $t = cr(G)$  crossings.

- ▶ Let  $H$  be the random induced subgraph of  $G$  obtained by picking each vertex of  $G$ , randomly and independently, to be a vertex of  $H$  with probability  $p$  (to be chosen later).
- ▶ Then, the expected number of vertices in  $H$  is  $p|V|$ , the expected number of edges is  $p^2|E|$ , and the expected number of crossings (in its given embedding) is  $p^4t$ .
- ▶ Therefore, we have

$$p^4t \geq p^2|E| - 3p|V|,$$

implying

$$t \geq \frac{|E|}{p^2} - 3\frac{|V|}{p^3}.$$

- ▶ Substituting  $p = \frac{4|V|}{|E|}$  ( $\leq 1$ ), we get the result.

- ▶ Now we state the famous [Szemerédi-Trotter Theorem](#) in Combinatorial Geometry:

Let  $P$  be a set of  $n$  distinct points in the plane, and let  $L$  be a set of  $m$  distinct lines. Then the number of incidences between the members of  $P$  and those of  $L$  (i.e., the number of pairs  $(p, l)$  with  $p \in P$ ,  $l \in L$ ,  $p \in l$ ) is at most  $c(m^{\frac{2}{3}}n^{\frac{2}{3}} + m + n)$ , for some absolute constant  $c > 0$ .

- ▶ We shall now give a step-by-step proof using probabilistic arguments. [This proof is due to Székely \(1997\)](#).

- ▶ We may and shall assume that every line in  $L$  is incident with one of the points of  $P$ .
- ▶ Denote the number of such incidences by  $l$ .
- ▶ Form a graph  $G = (V, E)$  with  $V = P$ , where for  $p, q \in P$ ,  $(p, q) \in E$  iff they are consecutive points of  $P$  on some line in  $L$ .
- ▶ Clearly,  $|V| = n$ , and  $|E| = \sum_{j=1}^m (k_j - 1) = \sum_{j=1}^m k_j - m = l - m$ , where  $k_j$  is the number of points of  $P$  on line  $j \in L$ .
- ▶ Note that  $G$  is already embedded in the plane where the edges are represented by segments of the corresponding lines in  $L$ .
- ▶ In this embedding, every crossing is an intersection point of two members of  $L$ , implying

$$cr(G) \leq \binom{m}{2} \leq \frac{1}{2}m^2.$$

- By the Crossing Number Theorem, either  $l - m = |E| < 4|V| = 4n$ , that is,

$$l \leq m + 4n$$

or

$$\frac{m^2}{2} \geq cr(G) \geq \frac{(l - m)^3}{64n^2},$$

implying

$$l \leq (32)^{\frac{1}{3}} m^{\frac{2}{3}} n^{\frac{2}{3}} + m.$$

- In both cases,

$$l \leq 4 \left( m^{\frac{2}{3}} n^{\frac{2}{3}} + m + n \right).$$

## Discrepancy Methods in Graphs

- ▶ Consider a set system (hypergraph)  $G(V, \mathcal{S})$ , with  $n$  vertices ( $|V| = n$ ) and a set  $\mathcal{S}$  of  $m$   $k$ -hyperedges (subsets of  $V$  of size  $k$ ).
- ▶ For all  $e \in \mathcal{S}$ , define

$$\chi(e) \stackrel{\text{def}}{=} \sum_{v \in V: v \in e} \chi(v),$$

where  $\chi(v) \in \{1 = \text{blue}, -1 = \text{red}\}$  is the colour assigned to vertex  $v$ .

- ▶ The discrepancy  $\mathcal{D}(\mathcal{S})$  of the system is defined as

$$\mathcal{D}(\mathcal{S}) \stackrel{\text{def}}{=} \min_{\chi: V \rightarrow \{1, -1\}} \max_{e \in \mathcal{S}} |\chi(e)|.$$

- ▶ Before we proceed further, let us state the following famous theorem due to Chernoff (1952):

Let  $X_i$ ,  $i = 1, \dots, n$  be mutually independent random variables with

$$P[X_i = +1] = P[X_i = -1] = \frac{1}{2},$$

and let  $S_n = \sum_{i=1}^n X_i$ . Let  $a > 0$ . Then

$$P[S_n > a] < e^{-\frac{a^2}{2n}}.$$

- ▶ Using symmetry arguments, we immediately get the following corollary:

$$P[|S_n| > a] < 2e^{-\frac{a^2}{2n}}.$$

- ▶ The following theorem gives an upper bound on the discrepancy  $\mathcal{D}(\mathcal{S})$  of such a set system  $\mathcal{S}$ :

$$\mathcal{D}(\mathcal{S}) \leq \sqrt{2n \ln(2m)}.$$

- ▶ Let us prove this step by step.
- ▶ For  $A \subset V$ , and for random  $\chi : V \rightarrow \{1, -1\}$ , let  $X_A$  be the indicator of the event  $\{|\chi(A)| > \alpha\}$ , where  $\alpha \stackrel{\text{def}}{=} \sqrt{2n \ln(2m)}$ .
- ▶ If  $|A| = k$ , then by our choice of  $\alpha$ , we have, by the above corollary of Chernoff's Theorem,

$$E[X_A] = P[|\chi(A)| > \alpha] < 2e^{-\frac{\alpha^2}{2k}} \leq 2e^{-\frac{\alpha^2}{2n}} = \frac{1}{m}.$$

- ▶ Let  $X$  be the number of  $A$  with  $\{|\chi(A)| > \alpha\}$ , so that  $X = \sum_{A \in \mathcal{S}} X_A$ .

- ▶ Hence, we have  $E[X] = \sum_{A \in \mathcal{S}} E[X_A] < |\mathcal{S}| \left(\frac{1}{m}\right) = 1$ .

- ▶ Thus, for some  $\chi$ , we must have  $X = 0$ , implying

$$|\chi(A)| \leq \alpha, \forall A \in \mathcal{S},$$

implying

$$\max_{e \in \mathcal{S}} |\chi(e)| \leq \alpha.$$

- ▶ Hence we have

$$\mathcal{D}(\mathcal{S}) = \min_{\chi: V \rightarrow \{1, -1\}} \max_{e \in \mathcal{S}} |\chi(e)| \leq \alpha = \sqrt{2n \ln(2m)}.$$

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**THANK YOU**