# Duality Transformation and its Application to Computational Geometry

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### Outline

- Introduction
- 2 Definition and Properties
- 3 Convex Hull
- 4 Arrangement of Lines
- 5 Smallest Area Triangle
- 6 Nearest Neighbor of a Line

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- The concept of duality is a powerful tool for the description, analysis, and construction of algorithms. This is because duality allows us to look at the problem from different angle.
- In this lecture we explore how geometric duality can be used to design efficient algorithms by considering a number of problems in computational geometry.
- For simplicity, we consider duality in two dimensions only. However, the concept generalizes to higher dimensions also.

• In the Cartesian plane, a point has two parameters (x- and y-coordinates) and a (non-vertical) line also has two parameters (slope and y-intercept). We can thus map a set of points to a set of lines, and vice versa, in an one-to-one manner.

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- This natural duality between points and lines in the Cartesian plane has long been known to geometers.

 There are many different point-line duality mappings possible, depending on the conventions of the standard representations of a line.

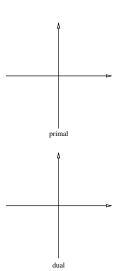
- There are many different point-line duality mappings possible, depending on the conventions of the standard representations of a line.
- Each such mapping has its advantages and disadvantages in particular contexts.

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### Definition

Let *D* be the duality transformation.

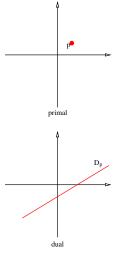


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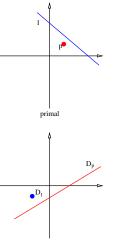
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A point p(a, b) is transformed to the line  $D_p(y = ax - b)$ .

#### Definition

A line I(y = cx + d) is transformed to the point  $D_I(c, -d)$ .



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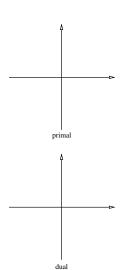
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However this is not a problem in general. Because we can always rotate the problem space slightly so that no line is vertical. Sometimes, vertical lines are taken as special cases and treated separately.

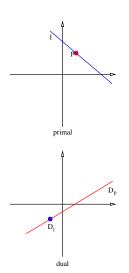
Incidence is preserved



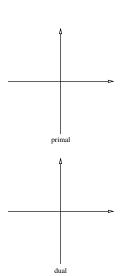
Incidence is preserved

### Lemma

A point p(a, b) is incident to the line l(y = cx + d) in the primal plane iff point  $D_l(c, -d)$  is incident to the line  $D_p(y = ax - b)$  in the dual plane.



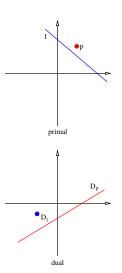
But order is reversed

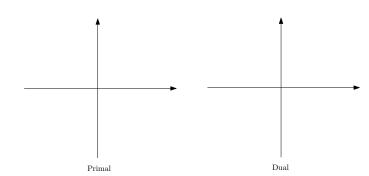


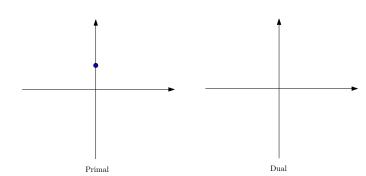
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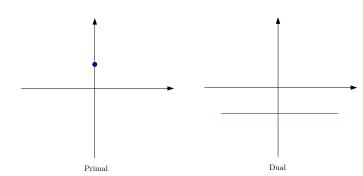
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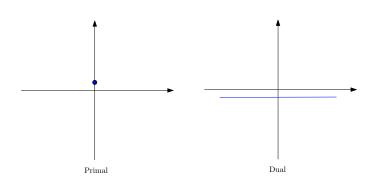
A point p(a, b) is above (below) the line l(y = cx + d) in the primal plane iff line  $D_p(y = ax - b)$  is below (above) the point  $D_l(c, -d)$  in the dual plane.

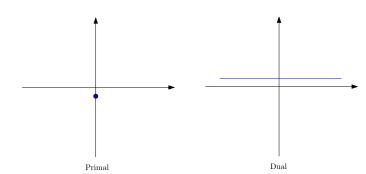


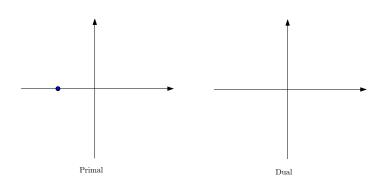


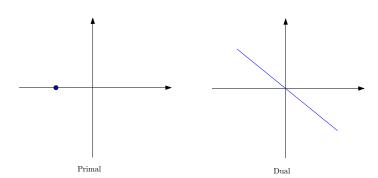


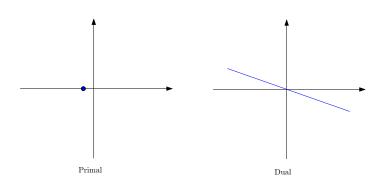


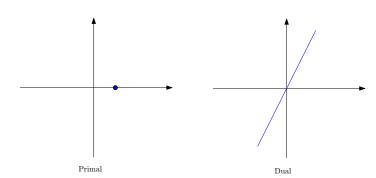


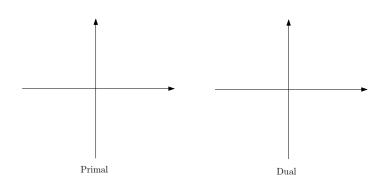


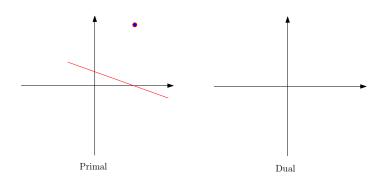


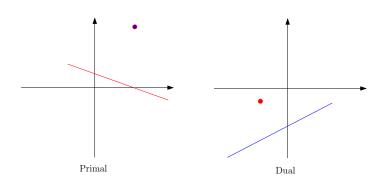


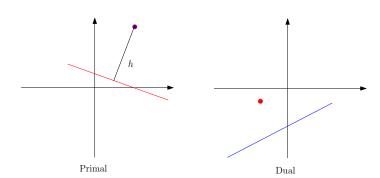


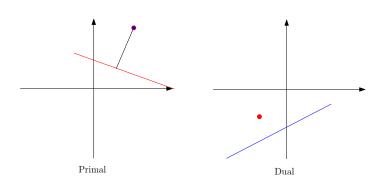


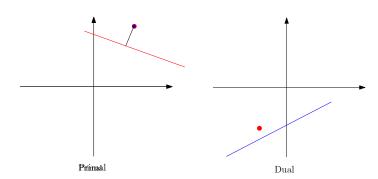


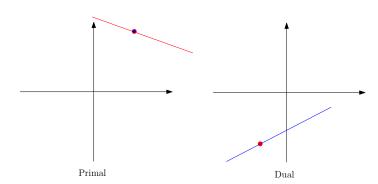




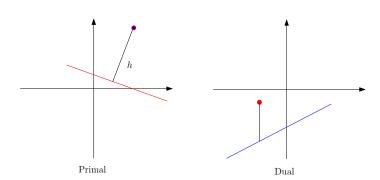




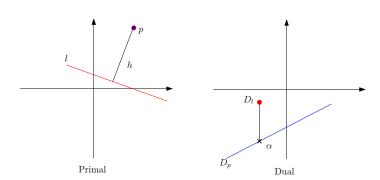




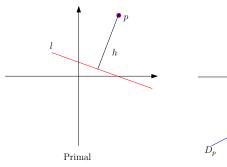
# Example3



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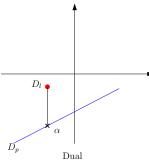
# Example3



$$h = \frac{d(D_l, \alpha)}{\sqrt{1 + (x(D_l))^2}}$$

Here d(.,.) is distance between two points.

And x(.) is x-coordinate of a point.



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- An alternative definition, called polar duality, is also used.

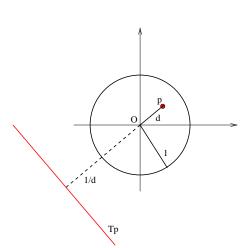
# Polar Duality

### Definition

A point p with coordinates (a,b) in the primal plane corresponds to a line  $T_p$  with equation ax + by + 1 = 0 in the dual plane and vice versa.

# Polar Duality

Geometrically this means that if d is the distance from the origin(O) to the point p, the dual Tp of p is the line perpendicular to Op at distance 1/d from O and placed on the other side of O.



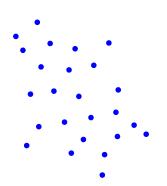
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Let  $\mathcal{P}$  be a set of points in the plane.

### Definition

Convex hull of  $\mathcal{P}$ , denoted by  $CH(\mathcal{P})$ , is the smallest convex set containing  $\mathcal{P}$ .

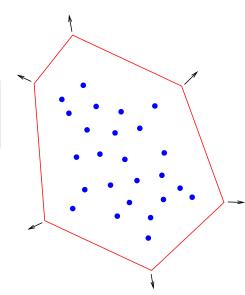


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Informally, an elastic band stretched open to encompass the given set, when released, assumes the shape of the convex hull.

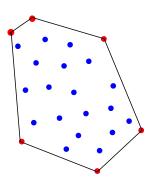


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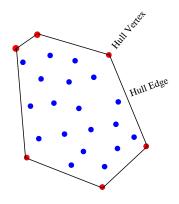


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To compute the convex hull of a point set is a well known and fundamental problem in computational geometry.

# Optimal Algorithms

• By reducing the sorting problem to the convex hull problem, it can be shown that the worst case computational complexity of the convex hull problem is  $O(n \log n)$ , where n is the size of the given point set.

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- By reducing the sorting problem to the convex hull problem, it can be shown that the worst case computational complexity of the convex hull problem is  $O(n \log n)$ , where n is the size of the given point set.
- A number of optimal algorithms have been devised for the convex hull problem.

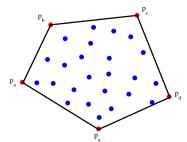
# Optimal Algorithms

- Grahams scan, time complexity O(nlogn). (Graham, R.L., 1972)
- Divide and conquer algorithm, time complexity O(nlogn).
   (Preparata, F. P. and Hong, S. J., 1977)
- Jarvis's march or gift wrapping algorithm, time complexity O(nh) where h number of vertices of the convex hull. (Jarvis, R. A., 1973)
- Most efficient algorithm to date is based on the idea of Jarvis's march, time complexity O(nlogh).
   T. M. Chan (1996)

# An Optimal Algorithm using Duality

• We now develop an optimal algorithm for computing convex hull using the concept of duality.

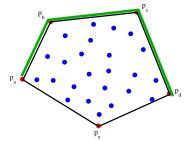
Let  $\mathcal{P}$  be the given set of n points in the plane. Let  $p_a \in \mathcal{P}$  be the point having smallest x-coordinate and  $p_d \in \mathcal{P}$  be the point with largest x-coordinate. Obviously, both  $p_a$  and  $p_d$  belongs to  $CH(\mathcal{P})$ .



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### Definition

The c-wise polygonal chain  $p_a, \ldots, p_d$  along the hull is called the upper hull.



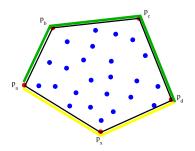
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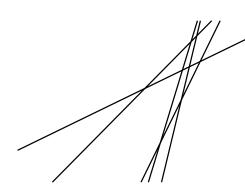
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### Definition

The cc-wise polygonal chain  $p_a, \ldots, p_d$  along the hull is called the lower hull.



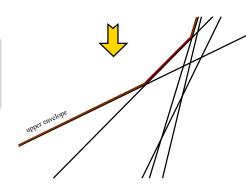
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### Definition

The upper envelope is a polygonal chain  $E_u$  such that no line  $I \in \mathcal{L}$  is above  $E_u$ .



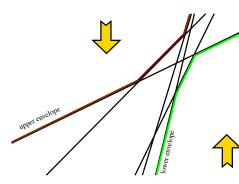
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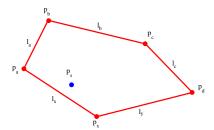
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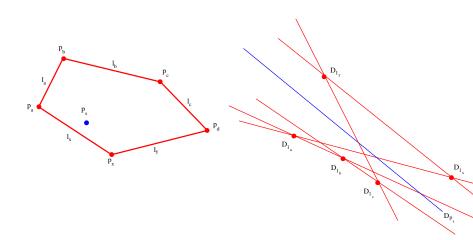
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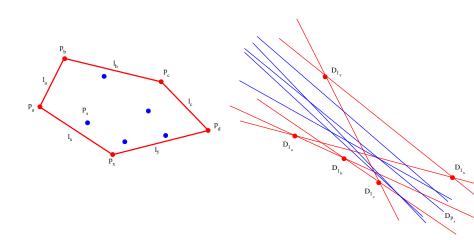
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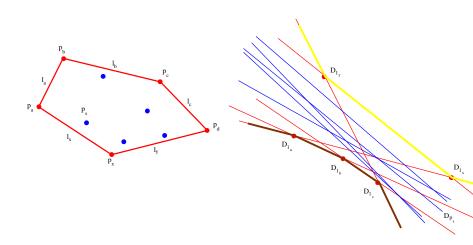
The lower envelope is a polygonal chain  $E_I$  such that no line  $I \in \mathcal{L}$  is below  $E_I$ .











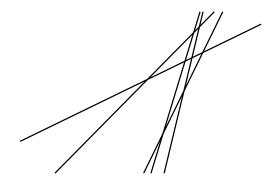
### Conclusion

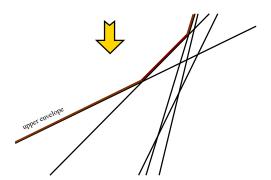
Upper hull (lower hull) in primal plane corresponds to the lower envelope (upper envelope) in the dual plane.

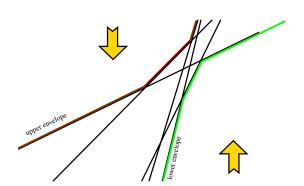
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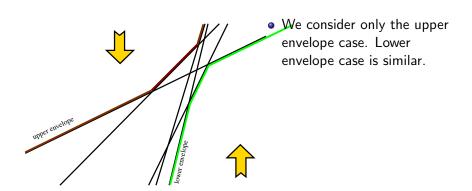
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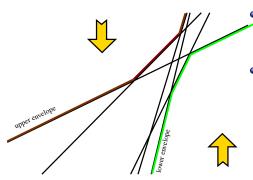
Thus the problem of computing convex hull of a point set in the primal plane reduces to the problem of computing upper and lower envelopes of the line set in the dual plane.





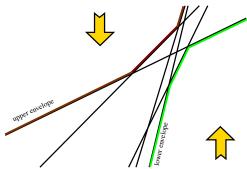






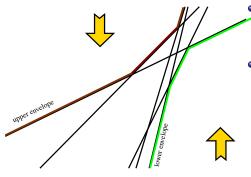
- We consider only the upper envelope case. Lower envelope case is similar.
- As we scan the upper envelope from left to right, we notice that:

## Outline of the algorithm

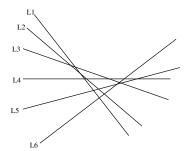


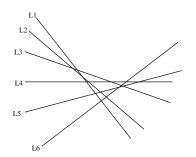
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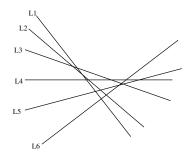


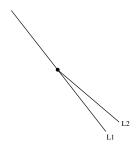
- We consider only the upper envelope case. Lower envelope case is similar.
- As we scan the upper envelope from left to right, we notice that:
  - The line with smallest slope is always present as the first member.
  - Slopes of the members are in increasing order.

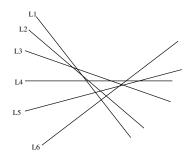


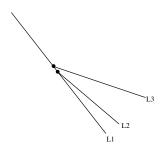


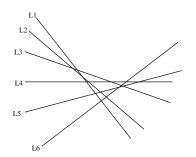


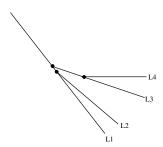


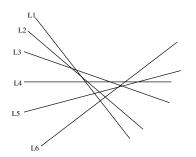


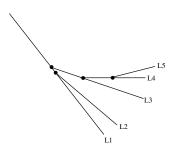


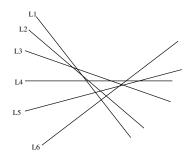


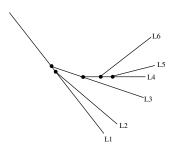


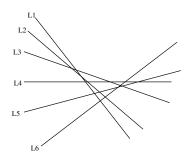


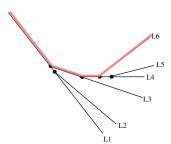












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 in the increasing order of slopes.

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Output: 0 = (11, 12, ..., lk) is the polygonal chain representing the upper hull.

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for i = 2 to n do{
  L = last entry in 0;
  while(the line segment L does not intersect Li)
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for i = 2 to n do{
  L = last entry in 0;
  while(the line segment L does not intersect Li)
    remove L from O and replace L with its predecessor;
}
```

```
Input: I = (L1, L2, ..., Ln) is the list of dual lines
       in the increasing order of slopes.
Output: 0 = (11, 12, ..., 1k) is the polygonal chain
        representing the upper hull.
0 = (L1):
for i = 2 to n do{
  L = last entry in 0;
  while(the line segment L does not intersect Li)
    remove L from O and replace L with its predecessor;
  insert the line segment Li at the tail of the list 0;
```

#### Lemma

After sorting n lines by their slopes in O(nlogn) time, the upper envelope can be obtained in O(n) time.

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### Proof.

It may check more than one line segment when inserting a new line, but those ones checked are all removed except the last one.  $\Box$ 

### Result

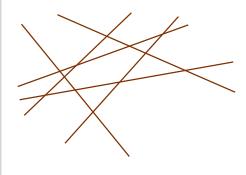
Given a set  $\mathcal{P}$  of n points in the plane,  $CH(\mathcal{P})$  can be computed in  $O(n \log n)$  time using n space.

## Outline

- Introduction
- 2 Definition and Properties
- 3 Convex Hull
- 4 Arrangement of Lines
- 5 Smallest Area Triangle
- 6 Nearest Neighbor of a Line

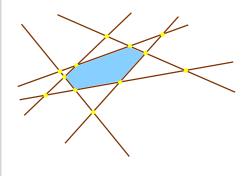
### Definition

Let  $\mathcal{L}$  be a set of n lines in the plane. The embedding of  $\mathcal{L}$  in the plane induces a planner subdivision that consists of vertices, edges, and faces where some of the edges and faces are unbounded. This subdivision is referred to as arrangement induced by  $\mathcal{L}$ , and is denoted by  $A(\mathcal{L})$ .



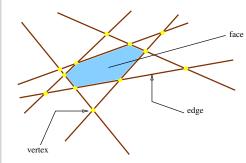
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#### Observation

Worst case complexity occurs when an arrangement is simple.

#### **Theorem**

Let  $\mathcal{L}$  be the set of n lines in the plane, and let  $A(\mathcal{L})$  be the arrangement induced by  $\mathcal{L}$ .

- (i) The number of vertices of  $A(\mathcal{L})$  is at most n(n-1)/2.
- (ii) The number of edges of  $A(\mathcal{L})$  is at most  $n^2$ .
- (iii) The number of faces of  $A(\mathcal{L})$  is at most  $n^2/2 + n/2 + 1$ .

Equality holds in these three statements iff  $A(\mathcal{L})$  is simple.

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Can be proved easily by using Euler's formula:

For any connected planner embedded graph with  $m_v$  vertices,  $m_e$  edges, and  $m_f$  faces the following relation holds

$$m_{\rm v} - m_{\rm e} + m_{\rm f} = 2.$$

# Computation of Arrangement

 One of the fundamental problems in computational geometry is constructing arrangements of lines, that is, explicitly building the regions formed by the intersections of a set of n lines.

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- One of the fundamental problems in computational geometry is constructing arrangements of lines, that is, explicitly building the regions formed by the intersections of a set of n lines.
- Algorithms for a surprising number of problems are based on constructing and analyzing the arrangement of a specific set of lines.
- A variety of data structures have been proposed for this purpose.

### Result

Given a set  $\mathcal{L}$  of n lines in the plane, the arrangement  $A(\mathcal{L})$  induced by  $\mathcal{L}$  can be constructed in  $O(n^2)$  time.

### Levels

• We consider an alternative concept, called levels, for structuring an arrangement of lines.

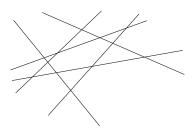
### Levels

- We consider an alternative concept, called levels, for structuring an arrangement of lines.
- It is simple both from understanding and implementations point of view.

### Definition

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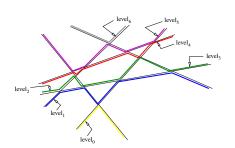
Let  $\mathcal{L}$  be a set on n lines in the plane inducing an arrangement  $A(\mathcal{L})$ . A point  $\pi$  in the plane is at level  $\theta$  ( $0 \le \theta < n$ ) if there are exactly  $\theta$  lines in  $\mathcal{L}$  that lie strictly below  $\pi$ . The  $\theta$ -level of  $A(\mathcal{L})$  is the closure of a set of points on the lines of  $\mathcal{L}$  whose levels are exactly  $\theta$  in  $A(\mathcal{L})$ , and is denoted as  $\lambda_{\theta}$ .



### **Definition**

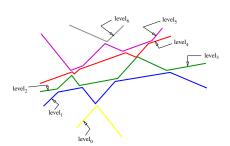
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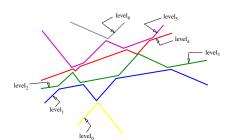
### Observations

• Clearly, the edges of  $\lambda_{\theta}$  form a monotone polychain from  $x=-\infty$  to  $x=\infty$ . Each vertex of the arrangement  $A(\mathcal{L})$  appears in two consecutive levels, and each edge of  $A(\mathcal{L})$  appears in exactly one level.



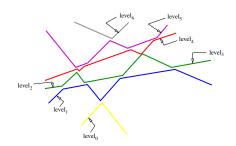
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- We can thus store each level simply as an array of segments.
- Observe that the upper and the lower envelops mentioned earlier, are simply the 0-th and (n - 1)-th levels respectively.



# Computing Levels

• Once an arrangement is computed using traditional data structures mentioned earlier, levels of an arrangement can be computed in optimal  $O(n^2)$  time.

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- Once an arrangement is computed using traditional data structures mentioned earlier, levels of an arrangement can be computed in optimal  $O(n^2)$  time.
- Here we consider an alternative method using plane sweep paradigm.
- The method was first introduced by Bentley and Ottmann (1979) in the context of solving the problem of line segment intersections.

### Basic method consists of:

• A vertical line I, called the sweep line, sweeps over the arrangement from  $x=-\infty$  to  $x=\infty$ . Observe that, at every instant, the sweep line intersects each element of  $\mathcal{L}$ .

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  of the arrangement which are intersection points of pairs of
  lines. These intersection points are called event points.
- The algorithm performs some computational steps when the sweep line reaches event points.

### Data structure

 Apart from storing the events, we also need to insert new events and extract the event nearest to the sweep line on its right. Clearly, a heap is a suitable data structure for this.

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- We order the lines from bottom to top according to their intersections with the sweep line. Data structure we use for maintaining the sweep line status are arrays storing the levels. At an instant, portion of the line at the *i*-th position, 0 < *i* < *n*, is part of the *i*-th level.

## Processing

• Let the next event be the intersection point of the lines currently at i-th and (i+1)-th positions respectively. Processing steps to be performed at this event point are as follows.

### Processing

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- Portion of the line at the i-th position before the event point will become part of the (i+1)-th level after the event point. Similarly, portion of the line at the (i+1)-th position before the event point will become part of the i-th level after the event point.

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- If the line at the (i+1)-th position after the event point intersect the line at the (i+2)-th position on the right of the sweep line, then we insert the intersection point in the heap as a future event point. Similarly, if the line at the i-th position after the event point intersect the line at the (i-1)-th position on the right of the sweep line, then we insert this intersection point also as a future event point.

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- We then initialize the level arrays with the lines according to their position on the sweep line. This step needs O(n) time.
- Finally, we check each pair of lines from bottom to top if they insert on the right of the sweep line. If yes, insert these intersection points in the heap as an event point. This step needs O(n) time.

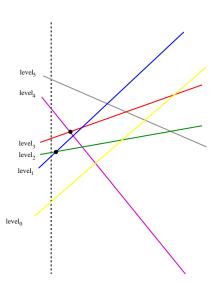
Input: A set L of n lines in the plane

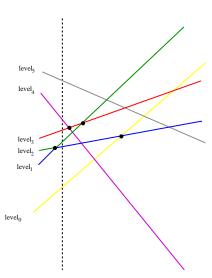
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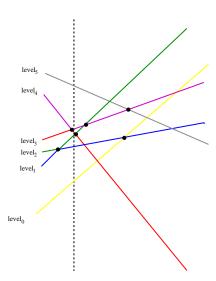
Input: A set L of n lines in the plane Compute initial position of the sweep line. Initialize event heap Q and level arrays LA[i], 0 <= i <= n.

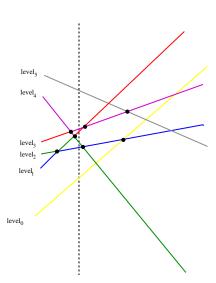
}

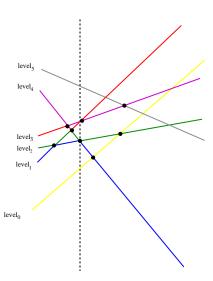
```
Input: A set L of n lines in the plane Compute initial position of the sweep line. Initialize event heap Q and level arrays  LA[i], \ 0 <= i <= n.  while Q is not empty do{
```

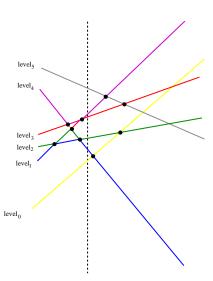


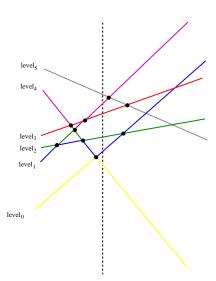


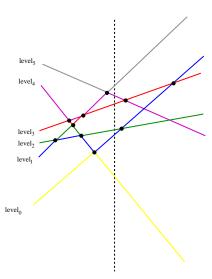


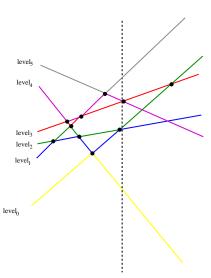


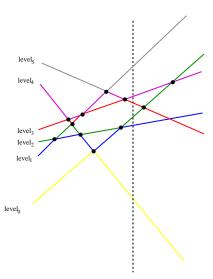


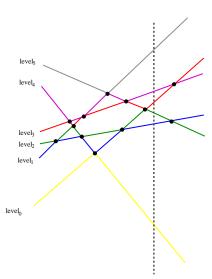


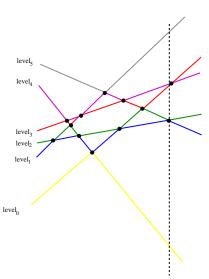


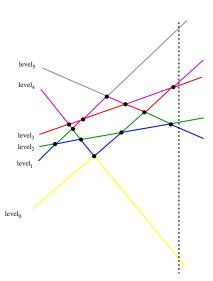












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- Space complexity is  $O(n^2)$ .

#### Result

#### **Theorem**

Using plane sweep, levels of an arrangement of n lines can be computed in  $O(n^2 \log n)$  time using  $O(n^2)$  space.

### Outline

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- 2 Definition and Properties
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#### **Problem**

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The solution of the above problem allows us to solve the following problem also.

#### Problem

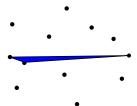
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The solution of the above problem allows us to solve the following problem also.

#### Problem

Let  $\mathcal{P}$  be a set of n points in the plane. The problem is to determine whether three points in  $\mathcal{P}$  are collinear.

 The difficulty of the problem arises from the fact that the vertices of the smallest triangle can be arbitrarily apart (i.e., absence of locality).



### Result

• The best known algorithm, without using duality, for this problem has time and space complexities  $O(n^2 \log n)$  and O(n) respectively. (Edelsbrunner and Welzl, 1982).

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- The best known algorithm, without using duality, for this problem has time and space complexities  $O(n^2 \log n)$  and O(n) respectively. (Edelsbrunner and Welzl, 1982).
- Using duality, it is possible to improve upon the complexity.

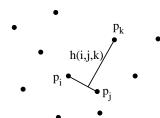
# Assumption

• The definition of duality implies that if two points  $p_i$  and  $p_j$  in the primal plane have same x-coordinate values, then corresponding duals  $D_{p_i}$  and  $D_{p_j}$  are parallel in the dual plane.

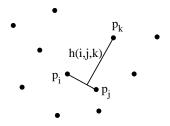
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- To avoid this we assume that no two points in  $\mathcal{P}$  have same x-coordinates. This may possibly require rotating the axes by a small angle which can be determined in  $O(n \log n)$  time.

 Let h(i, j, k) be the perpendicular distance from the point p<sub>k</sub> to the segment p<sub>i</sub>p<sub>j</sub>.



- Let h(i, j, k) be the perpendicular distance from the point p<sub>k</sub> to the segment p<sub>i</sub>p<sub>j</sub>.
- Smallest area triangle with  $p_i p_j$  as an edge minimizes h(i,j,k) for all  $k \neq i,j$ ; 1 < k < n.

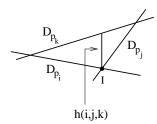


• Straight forward use of this scheme leads to an  $O(n^3)$  time algorithm.

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- However, when taken to dual plane, this leads to efficient algorithm.

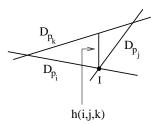
### **Dualization**

• In the dual plane, the edge  $p_i p_j$  becomes the intersection point I of  $D_{p_i}$  and  $D_{p_j}$ .



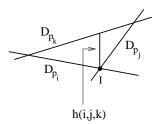
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- The perpendicular from p<sub>k</sub> on the edge p<sub>i</sub>p<sub>j</sub> becomes vertical line segment from I to D<sub>pk</sub>.
- Knowing this vertical distance in the dual plane, the perpendicular distance in the primal plane can be computed.

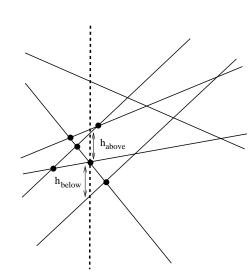


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- Here event points are the intersection points between pairs of lines.

• When sweep line reaches an event point, the intersection point between  $D_{p_i}$  and  $D_{p_j}$  say, compute the vertical distances, along the sweep line, between the event point and the lines just above and below it.

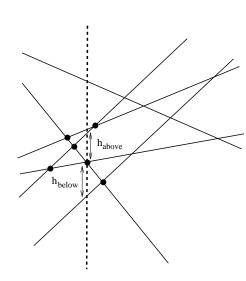


event point, the intersection point between  $D_{p_i}$  and  $D_{p_j}$  say, compute the vertical distances, along the sweep line, between the event point and the lines just above and below it.

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 Compute the minimum area of the triangle with p<sub>i</sub>p<sub>j</sub> as base.



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- Hence space complexity of the algorithm is O(n).
- Time complexity of the algorithm is, clearly,  $O(n^2 \log n)$ .
- The log n factor in the time complexity can be avoided by using topological line sweep.
   (Edelsbrunner, H. and Guibas, L. J., 1989)

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#### Problem

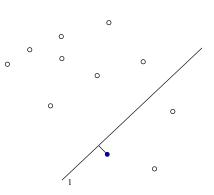
#### Problem

Given a set  $\mathcal{P}$  of n points in the plane and a query line l, compute the nearest neighbor (in the perpendicular distance sense) of the query line l.

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0

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- For a single query line, the problem can be solved in optimal O(n) time.
- We are interested in multi-shot query version.
- Here we are allowed to preprocess the point set so that each query can be answered efficiently.

## Strategy

• We use duality to solve the problem.

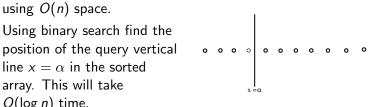
#### Strategy

- We use duality to solve the problem.
- Since our definition of duality does not allow vertical line, we need to have separate algorithm for handling vertical query lines.

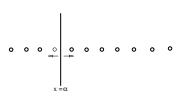
 Sort the points of the given set P on their
 x-coordinates. This can be done in O(n log n) time using O(n) space.



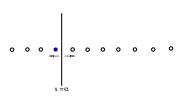
- Sort the points of the given set  $\mathcal{P}$  on their x-coordinates. This can be done in  $O(n \log n)$  time using O(n) space.
- Using binary search find the line  $x = \alpha$  in the sorted array. This will take  $O(\log n)$  time.



- Sort the points of the given set P on their
   x-coordinates. This can be done in O(n log n) time using O(n) space.
- Using binary search find the position of the query vertical line  $x = \alpha$  in the sorted array. This will take  $O(\log n)$  time.
- Then a pair of scan from α towards left and right determine the nearest neighbor in constant time.



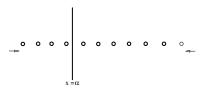
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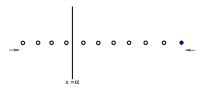
 Same scheme can also be used for determining the farthest neighbor of a query vertical line.



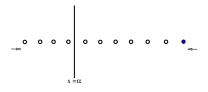
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- Here a pair of scan from the end points of the array will determine the farthest neighbor of the query vertical line  $x = \alpha$ .



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#### Result

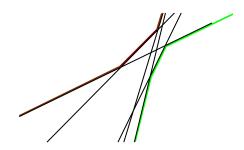
#### Lemma

With  $O(n \log n)$  preprocessing time using O(n) space, nearest and farthest neighbors of a query vertical line can be found in  $O(\log n)$  time.

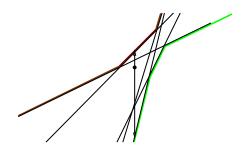
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- As the preprocessing step, compute the upper envelope and the lower envelope of the set of lines dual to the given set of points  $\mathcal{P}$ . This can be done in in  $O(n \log n)$  time using O(n) space as mentioned previously.

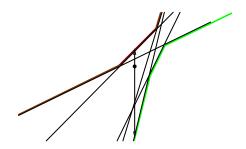
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- Given a query line I, shoot a vertical ray from the point D<sub>I</sub> in upward and downward direction and find the intersection points with the upper and the lower envelope respectively.
- This can be done in O(log n) time by using two binary searches on the arrays E<sub>u</sub> and E<sub>l</sub> holding the envelopes.



#### Result

#### Lemma

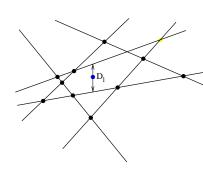
With  $O(n \log n)$  preprocessing time using O(n) space, farthest neighbors of a query non-vertical line can be found in  $O(\log n)$  time.

 Let L be the set of lines which are dual to the points of the given set
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- Let  $A(\mathcal{L})$  be the arrangement of lines of the set  $\mathcal{L}$ .
- Let f be the cell of the arrangement  $A(\mathcal{L})$  containing  $D_I$ .
- Then one of the points corresponding to the lines just above D<sub>I</sub> is the nearest neighbor of I in the primal plane.



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- With standard data structure for storing an arrangement of lines, point location problem can be solved in optimal O(log n) time.

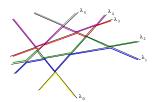
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- With standard data structure for storing an arrangement of lines, point location problem can be solved in optimal O(log n) time.
- So with standard data structure, nearest neighbors of a non-vertical query line can be determined in  $O(\log n)$  time. The regired preprocessing time and space is  $O(n^2)$ .

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- So with standard data structure, nearest neighbors of a non-vertical query line can be determined in  $O(\log n)$  time. The reqired preprocessing time and space is  $O(n^2)$ .
- Here we describe an algorithm for point location using levels of arrangement.

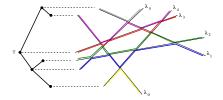
• First compute the levels of the arrangement  $A(\mathcal{L})$  in  $O(n^2 \log n)$  time using  $O(n^2)$  space.

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- Let  $\lambda_{\theta}$  be the linear array containing vertices and edges of level  $\theta$ ,  $\theta = 0, 1, \dots, (n-1)$ , of the arrangement  $A(\mathcal{L})$ .

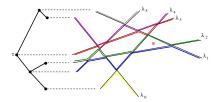
 Create a balanced binary search tree T, called the primary structure, whose nodes correspond to the levels  $\theta$ ,  $0 < \theta < n$ . Each node of T, representing a level  $\theta$ , is attached with the corresponding array  $\lambda_{\theta}$ , called the secondary structure. This requires  $O(n \log n)$  time and O(n)space.



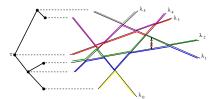
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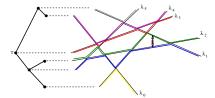
 Given the query line *I*, we perform two level binary search on the tree *T* with the point *D<sub>I</sub>*.



- Given the query line I, we perform two level binary search on the tree T with the point D<sub>I</sub>.
- This will enable us to locate the two edges just above and below D<sub>I</sub>.



- Given the query line I, we perform two level binary search on the tree T with the point D<sub>I</sub>.
- This will enable us to locate the two edges just above and below D<sub>I</sub>.
- Time complexity for performing this point location is O(log<sup>2</sup> n).



# Complexity

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With  $O(n^2 \log n)$  preprocessing time and  $O(n^2)$  space, nearest neighbor of a non-vertical query line can be determined in  $O(\log^2 n)$  time.

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 It may be mentioned that the query time complexity can be reduced to O(log n), by using a data structuring technique, called fractional cascading.
 (Lueker, G. S., 1978)

# Thank you!