

# **An Introduction to Quantum Algorithms: Shor's Algorithm**

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## Outline

- Some Quantum Computing
- Some Number Theory
- Shor's algorithm for integer factoring

## Quantum State Vector

- State of a Classical Deterministic Bit: Either 0 or 1
- Classical Probabilistic:  $a\bar{0} + b\bar{1}$  such that  $a + b = 1$ .
- A Quantum Bit (qubit!!):  $|\psi\rangle = \alpha|0\rangle + \beta|1\rangle$   
where  $\alpha, \beta \in \mathbb{C}$  and  $|\alpha|^2 + |\beta|^2 = 1$
- In general,  $n$  qubits  $\Rightarrow 2^n$ -dimensional Hilbert space
- A quantum state vector is a **ray** in the  $2^n$ -dimensional Hilbert space:
- $|\psi\rangle = \sum_{i=0}^{2^n-1} \alpha_i |i\rangle$
- where  $\alpha_i \in \mathbb{C}$  and  $\sum_{i=0}^{2^n-1} |\alpha_i|^2 = 1$

## Classical Deterministic Evolution

$$M = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$M_{ij} = 1$  whenever there is a transition from configuration  $i$  to  $j$ .

## Classical Probabilistic Evolution

$$M = \begin{pmatrix} 0 & 3/4 & 0 & 0 \\ 1/2 & 1/4 & 0 & 0 \\ 1/2 & 0 & 1/3 & 0 \\ 0 & 0 & 2/3 & 1 \end{pmatrix}$$

$$\sum_i M_{ij} = 1.$$

## Quantum Evolution

- The trajectory of a **closed** quantum system is described by the famous **Schroedinger** equation

$$i\frac{h}{2\pi}\frac{d}{dt}|\psi\rangle = H|\psi\rangle.$$

- If the system evolves from time  $t_0$  through  $t_1$ , the solution of Schroedinger equation is

$$|\psi(t_1)\rangle = e^{-iH(t_1-t_0)}|\psi(t_0)\rangle$$

where  $U(t_1, t_0) = e^{-iH(t_1-t_0)}$  is a **unitary operator**:

$$U^\dagger U = U U^\dagger = I.$$

## (Projective) Measurements

“Opening” the closed system (peeking in for information):

- Observable: Hermitian Operator  $H$  defined as  $\sum m P_m$  such that
- $\sum P_m = I$  and
- $P_m^2 = P_m$  but  $P_m P_{m'} = 0$  for  $m \neq m'$ .
- Probability of obtaining  $m = ||P_m |\psi\rangle||^2$
- Post-measurement, the state collapses to  $\frac{P_m |\psi\rangle}{||P_m |\psi\rangle||^2}$ .

## Example

$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle$$

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$$P_0 = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \text{ and } P_1 = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$$

- Probability of observing a 0 =  $|\alpha|^2$  at which point the system collapses to  $|0\rangle$ .
- Probability of observing a 1 =  $|\beta|^2$  at which point the system collapses to  $|1\rangle$ .



## Circuit Model of Quantum Computation

- A sequence of “gates” applied on qubit registers
- Measurements performed to extract information
- Gates, whatever function they implement, need to be unitary
- Can be decomposed into basic gates from universal sets of (unitary) gates, each of which operate on a small constant number of qubits
- Example– Discrete Fourier Transform:

$$DFT_q \sum_a f(a) |a\rangle = \sum_c \tilde{f}(c) |c\rangle$$

where 
$$\tilde{f}(c) = \frac{1}{\sqrt{q}} \sum_a e^{\frac{2\pi i a c}{q}} f(a)$$

## Strange features of the quantum world

- Superposition
- Entanglement E.g:  $\frac{|00\rangle + |11\rangle}{\sqrt{2}}$
- Interference of probability amplitudes

## Integer factoring and its importance

- Given a natural number  $N$  that is a product of two prime numbers  $p_1$  and  $p_2$
- Find  $p_1$  and  $p_2$
- In time  $O(\text{poly}(\log N))$ , for efficiency.
- Security of popular cryptosystems like RSA depends on the fact that we don't yet know an efficient classical algorithm for doing this!

## Integer factoring reduces to order finding

- Let  $1 \leq y \leq N$  and  $\gcd(y, N) = 1$ . The order  $r$  of  $y \pmod N$  is the least power of  $y$  congruent to  $1 \pmod N$ .
- Choose a  $y$  such that  $\gcd(y, N) = 1$ . Then
- Theorem: If  $r$  is even for the  $y$  chosen and  $x = y^{r/2} \not\equiv \pm 1 \pmod N$ , then  $\gcd(x \pm 1, N)$  is a non-trivial factor of  $N$ .
- Theorem:  $\text{Prob}[r \text{ is even and } y^{r/2} \not\equiv \pm 1 \pmod N] \geq 1/2$

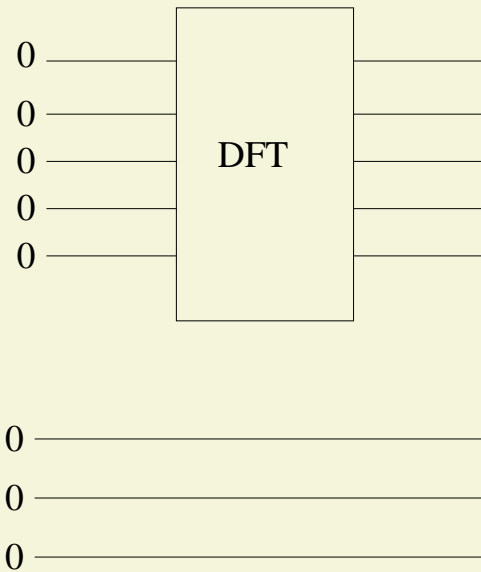
## An Example

- Let us try to factorize  $N = 15$ .
- Candidate  $y$ 's are  $\{2, 4, 7, 8, 11, 13, 14\}$ .
- Say we pick 11.
- $11^a \bmod N$  for  $a = 1, 2, 3, 4, \dots$  are 11, 1, 11, 1,  $\dots$
- Thus  $r = 2$ , and  $x = y^{\frac{r}{2}} = 11$
- $\gcd(10, 15) = 5$  and  $\gcd(12, 15) = 3$ , which are the factors we are looking for.

## Shor's algorithm (preparations)

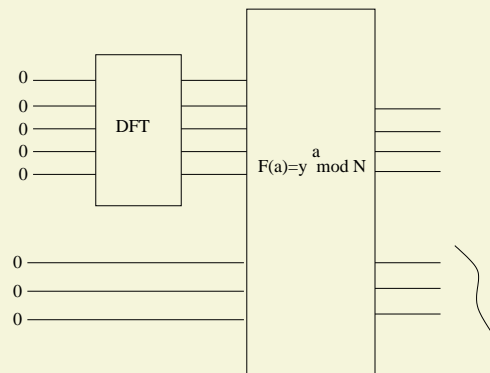
- Given  $N$  choose  $q = 2^L$  between  $N^2$  and  $2N^2$
- Choose a random  $y \bmod N$
- Prepare two quantum registers of  $L$  bits and  $range()$  qubits each as follows:  $|00 \dots 0\rangle|00 \dots 0\rangle$

Apply DFT on first register



$$|00 \dots 0\rangle |00 \dots 0\rangle \rightarrow \frac{1}{\sqrt{q}} \sum_{a=0}^{q-1} |a\rangle |00 \dots 0\rangle$$

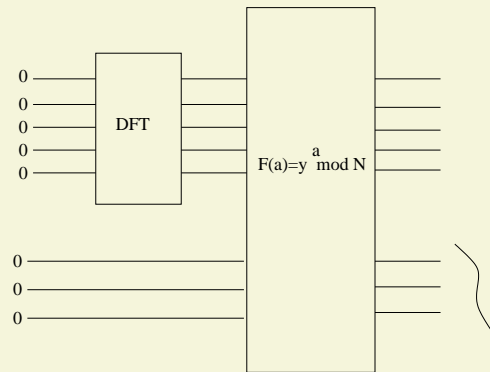
## Evaluate function



$$\frac{1}{\sqrt{q}} \sum_{a=0}^{q-1} |a\rangle |00 \dots 0\rangle \rightarrow \frac{1}{\sqrt{q}} \sum_{a=0}^{q-1} |a\rangle |y^a \bmod N\rangle$$



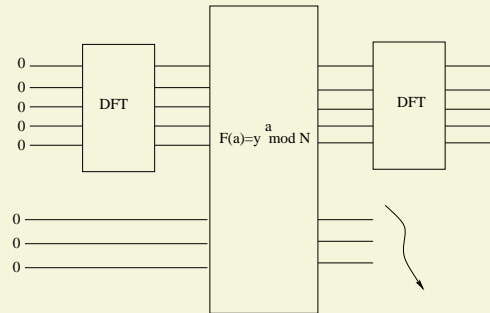
## Measure second register



- $$|\phi_l\rangle = \frac{1}{\sqrt{A+1}} \sum_{j=0}^A |jr + l\rangle (|z \bmod N\rangle)$$

where  $A$  is the largest integer smaller than  $\frac{q-l}{r} \sim \frac{q}{r}$ .
- We make the simplifying assumption that  $r$  divides  $q$  exactly.

Apply DFT again on first register

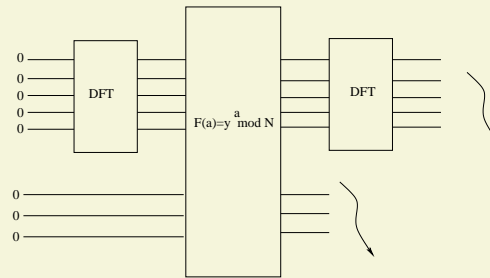


$$DFT_q \frac{1}{\sqrt{A+1}} \sum_{j=0}^A |jr + l\rangle = \sum_c \tilde{f}(c) |c\rangle$$

$$\tilde{f}(c) = \frac{\sqrt{r}}{q} \sum_{j=0}^{\frac{q}{r}-1} e^{\frac{2\pi i(jr+l)c}{q}}$$

Basically,  $\tilde{f}(c) = \frac{e^{\frac{2\pi i l c}{q}}}{\sqrt{r}}$  if  $c$  is a multiple of  $\frac{q}{r}$  and 0 otherwise.

## Measure first register



- We see only those  $c$  that are integral multiples of  $\frac{q}{r}$
- Thus,  $\frac{c}{q} = \frac{j}{r}$
- If  $\gcd(j, r) = 1$ , we are done!
- Thankfully,  $\text{Prob}(\gcd(j, r) = 1) \geq \frac{1}{\log r}$  when  $j$  is chosen uniformly at random.
- Repeat  $O(\log r)$  times for arbitrarily high success probability

## Epilogue

- The case when  $r$  does not divide  $q$  exactly needs some more analysis
- But this is the general idea!
- Thus, we have a quantum algorithm that yields the prime factors of an integer in polynomial time with high probability.
- This is an example of the Hidden Subgroup Problem (for commutative groups)!

- Michael Nielsen and Isaac Chuang, *Quantum Computation and Quantum Information*. Cambridge University Press, 2010.
- Peter Shor, *Polynomial-Time Algorithms for Prime Factorization and Discrete Logarithms on a Quantum Computer*. SIAM J. Comput., 26(5), pp. 1484–1509, 1997.
- Artur Ekert and Richard Jozsa, *Shor's Quantum Algorithm for Factorizing Numbers*. Reviews of Modern Physics, 1995.

**Thanks, Questions?**