# Duality Transformation and its Application to Computational Geometry 

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## Outline

(1) Introduction
(2) Definition and Properties
(3) Arrangement of Lines

4 Smallest Area Triangle
(5) Nearest Neighbor of a Line

## Introduction

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- In this lecture we explore how geometric duality can be used to design efficient algorithms by considering a number of problems in computational geometry.


## Introduction

- The concept of duality is a powerful tool for the description, analysis, and construction of algorithms. This is because duality allows us to look at the problem from different angle.
- In this lecture we explore how geometric duality can be used to design efficient algorithms by considering a number of problems in computational geometry.
- For simplicity, we consider duality in two dimensions only. However, the concept generalizes to higher dimensions also.


## Introduction

- In the Cartesian plane, a point has two parameters ( $x$ - and $y$-coordinates) and a (non-vertical) line also has two parameters (slope and $y$-intercept). We can thus map a set of points to a set of lines, and vice versa, in an one-to-one manner.


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- This natural duality between points and lines in the Cartesian plane has long been known to geometers.


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- Each such mapping has its advantages and disadvantages in particular contexts.


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## Definition

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## Definition

A line $I(y=c x+d)$ is transformed to the point $D_{l}(c,-d)$.


## Observations

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However this is not a problem in general. Because we can always rotate the problem space slightly so that no line is vertical. Sometimes, vertical lines are taken as special cases and treated separately.

## Properties

Incidence is preserved



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## Lemma

A point $p(a, b)$ is incident to the line $I(y=c x+d)$ in the primal plane iff point $D_{l}(c,-d)$ is incident to the line $D_{p}(y=a x-b)$ in the dual plane.



## Properties

But order is reversed



## Properties

But order is reversed

## Lemma

A point $p(a, b)$ is above (below) the line $I(y=c x+d)$ in the primal plane iff line $D_{p}(y=a x-b)$ is below (above) the point $D_{l}(c,-d)$ in the dual plane.



## Alternative Definition

- The duality transformation we have described so far is often called m-c duality.


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- There are variations of m-c duality. For example, a variation of m -c duality is: $p(a, b) \rightarrow D_{p}(y=a x+b)$ and $I(y=c x+d) \rightarrow D_{l}(-c, d)$. Observe that, here $D D_{p} \neq p$ and $D D_{I} \neq I$, but both incidence and order are preserved.


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- An alternative definition, called polar duality, is also used.


## Polar Duality

## Definition

A point $p$ with coordinates $(a, b)$ in the primal plane corresponds to a line $T_{p}$ with equation $a x+b y+1=0$ in the dual plane and vice versa.

## Polar Duality

- Geometrically this means that if $d$ is the distance from the origin( $O$ ) to the point $p$, the dual $T_{p}$ of $p$ is the line perpendicular to $O p$ at distance $1 / d$ from $O$ and placed on the other side of $O$.



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Let $\mathcal{L}$ be a set of $n$ lines in the plane. The embedding of $\mathcal{L}$ in the plane induces a planner subdivision that consists of vertices, edges, and faces where some of the edges and faces are unbounded. This subdivision is referred to as arrangement induced by $\mathcal{L}$, and is denoted by $A(\mathcal{L})$.

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## Observation

Worst case complexity occurs when an arrangement is simple.

## Result

## Theorem

Let $\mathcal{L}$ be the set of $n$ lines in the plane, and let $A(\mathcal{L})$ be the arrangement induced by $\mathcal{L}$.
(i) The number of vertices of $A(\mathcal{L})$ is at most $n(n-1) / 2$.
(ii) The number of edges of $A(\mathcal{L})$ is at most $n^{2}$.
(iii) The number of faces of $A(\mathcal{L})$ is at most $n^{2} / 2+n / 2+1$.
Equality holds in these three statements iff $A(\mathcal{L})$ is simple.

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(iii) The number of faces of $A(\mathcal{L})$ is at most $n^{2} / 2+n / 2+1$.
Equality holds in these three statements iff $A(\mathcal{L})$ is simple.
Can be proved easily by using Euler's formula:
For any connected planner embedded graph with $m_{v}$ vertices, $m_{e}$ edges, and $m_{f}$ faces the following relation holds

$$
m_{v}-m_{e}+m_{f}=2
$$

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- Algorithms for a number of problems are based on constructing and analyzing the arrangement of a specific set of lines.
- A variety of data structures have been proposed for this purpose.


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 from cell to neighboring cell and splitting into two pieces those cells that contain the new line.


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- We can thus scan through each edge of every cell encountered on our insertion walk in linear time.
- The total time to insert all $n$ lines in constructing the full arrangement is $O\left(n^{2}\right)$.


## Result

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Given a set $\mathcal{L}$ of $n$ lines in the plane, the arrangement $A(\mathcal{L})$ induced by $\mathcal{L}$ can be constructed in $O\left(n^{2}\right)$ time.

## Levels

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- It is simple both from understanding and implementations point of view.


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Let $\mathcal{L}$ be a set on $n$ lines in the plane inducing an arrangement $A(\mathcal{L})$. A point $\pi$ in the plane is at level $\theta(0 \leq \theta \leq n)$ if there are exactly $\theta$ lines in $\mathcal{L}$ that lie strictly below $\pi$. The $\theta$-level of $A(\mathcal{L})$ is the closure of a set of points on the lines of $\mathcal{L}$ whose levels are exactly $\theta$ in $A(\mathcal{L})$, and is denoted as $\lambda_{\theta}$.

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- Clearly, the edges of $\lambda_{\theta}$ form a monotone polychain from $x=-\infty$ to $x=\infty$. Each vertex of the arrangement $A(\mathcal{L})$ appears in two consecutive levels, and each edge of $A(\mathcal{L})$ appears in exactly one level.



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- Clearly, the edges of $\lambda_{\theta}$ form a monotone polychain from $x=-\infty$ to $x=\infty$. Each vertex of the arrangement $A(\mathcal{L})$ appears in two consecutive levels, and each edge of $A(\mathcal{L})$ appears in exactly one level.
- We can thus store each level
 simply as an array of segments.


## Computing Levels

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- Here we consider an alternative method using plane sweep paradigm.
- The method was first introduced by Bentley and Ottmann (1979) in the context of solving the problem of line segment intersections.


## Plane Sweep Method

Basic method consists of:

- A vertical line $l$, called the sweep line, sweeps over the arrangement from $x=-\infty$ to $x=\infty$. Observe that, at every instant, the sweep line intersects each element of $\mathcal{L}$.


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- The status of the sweep line at any instant is the order in which the lines intersect it.
- The status changes only when the sweep line crosses vertices of the arrangement which are intersection points of pairs of lines. These intersection points are called event points.
- The algorithm performs some computational steps when the sweep line reaches event points.


## Data structure

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- We order the lines from bottom to top according to their intersections with the sweep line. Data structure we use for maintaining the sweep line status are arrays storing the levels. At an instant, portion of the line at the $i$-th position, $0 \leq i \leq n$, is part of the $i$-th level.


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- Portion of the line at the $i$-th position before the event point will become part of the $(i+1)$-th level after the event point. Similarly, portion of the line at the $(i+1)$-th position before the event point will become part of the $i$-th level after the event point.


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- If the line at the $(i+1)$-th position after the event point intersect the line at the $(i+2)$-th position on the right of the sweep line, then we insert the intersection point in the queue as a future event point. Similarly, if the line at the $i$-th position after the event point intersect the line at the ( $i-1$ )-th position on the right of the sweep line, then we insert this intersection point also as a future event point.


## Initialization

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- We then initialize the level arrays with the lines according to their position on the sweep line. This step needs $O(n)$ time.
- Finally, we check each pair of lines from bottom to top if they insert on the right of the sweep line. If yes, insert these intersection points in the queue as an event point. This step needs $O(n)$ time.


## Algorithm

Input: A set L of n lines in the plane

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Process the event point.
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## Example



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- Since there are $O\left(n^{2}\right)$ event points, overall time complexity is $O\left(n^{2} \log n\right)$.
- Space complexity is $O\left(n^{2}\right)$.


## Result

## Theorem

Using plane sweep, levels of an arrangement of $n$ lines can be computed in $O\left(n^{2} \log n\right)$ time using $O\left(n^{2}\right)$ space.

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## Smallest Area Triangle Problem

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The solution of the above problem allows us to solve the following problem also.

## Problem

Let $\mathcal{P}$ be a set of $n$ points in the plane. The problem is to determine whether three points in $\mathcal{P}$ are collinear.

## Smallest Area Triangle Problem

- The difficulty of the problem arises from the fact that the vertices of the smallest triangle can be arbitrarily apart (i.e., absence of locality).


## Result

- The best known algorithm, without using duality, for this problem has time and space complexities $O\left(n^{2} \log n\right)$ and $O(n)$ respectively. (Edelsbrunner and Welzl, 1982).


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- The best known algorithm, without using duality, for this problem has time and space complexities $O\left(n^{2} \log n\right)$ and $O(n)$ respectively. (Edelsbrunner and Welzl, 1982).
- Using duality, it is possible to improve upon the complexity.


## Assumption

- The definition of duality implies that if two points $p_{i}$ and $p_{j}$ in the primal plane have same $x$-coordinate values, then corresponding duals $D_{p_{i}}$ and $D_{p_{j}}$ are parallel in the dual plane.


## Assumption

- The definition of duality implies that if two points $p_{i}$ and $p_{j}$ in the primal plane have same $x$-coordinate values, then corresponding duals $D_{p_{i}}$ and $D_{p_{j}}$ are parallel in the dual plane.
- To avoid this we assume that no two points in $\mathcal{P}$ have same $x$-coordinates. This may possibly require rotating the axes by a small angle which can be determined in $O(n \log n)$ time.


## Sketch of the Solution

- Let $h(i, j, k)$ be the perpendicular distance from the point $p_{k}$ to the segment $p_{i} p_{j}$.



## Sketch of the Solution

- Let $h(i, j, k)$ be the perpendicular distance from the point $p_{k}$ to the segment $p_{i} p_{j}$.
- Smallest area triangle with $p_{i} p_{j}$ as an edge minimizes $h(i, j, k)$ for all $k \neq i, j$; $1 \leq k \leq n$.

- 



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- Straight forward use of this scheme leads to an $O\left(n^{3}\right)$ time algorithm.
- However, when taken to dual plane, this leads to efficient algorithm.


## Dualization

- In the dual plane, the edge $p_{i} p_{j}$ becomes the intersection point / of $D_{p_{i}}$ and $D_{p_{j}}$.



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## Dualization

- In the dual plane, the edge $p_{i} p_{j}$ becomes the intersection point $/$ of $D_{p_{i}}$ and $D_{p_{j}}$.
- The perpendicular from $p_{k}$ on the edge $p_{i} p_{j}$ becomes vertical line segment from / to $D_{p_{k}}$.
- Expression for the vertical distance $h(i, j, k)$ between I
 and $D_{p_{k}}$ is

$$
h(i, j, k)=y\left(p_{k}\right)+\frac{x\left(p_{k}\right)\left[y\left(p_{j}\right)-y\left(p_{i}\right)\right]+x\left(p_{j}\right) y\left(p_{i}\right)-x\left(p_{i}\right) y\left(p_{j}\right)}{x\left(p_{i}\right)-x\left(p_{j}\right)}
$$

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- Here event points are the intersection points between pairs of lines.


## Algorithm

- When sweep line reaches an event point, the intersection point between $D_{p_{i}}$ and $D_{p_{j}}$ say, compute the vertical distances, along the sweep line, between the event point and the lines just above and below it.



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- When sweep line reaches an event point, the intersection point between $D_{p_{i}}$ and $D_{p_{j}}$ say, compute the vertical distances, along the sweep line, between the event point and the lines just above and below it.
- Let the minimum distance occurs for the line $D_{p_{k}}$. Compute the minimum area of the triangle with $p_{i} p_{j}$ as base.



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- Time complexity of the algorithm is, clearly, $O\left(n^{2} \log n\right)$.


## Complexity

- Observe that during sweep we need not store the arrangement. Moreover, at any instance, number of event points stored in the event queue is $O(n)$.
- Hence space complexity of the algorithm is $O(n)$.
- Time complexity of the algorithm is, clearly, $O\left(n^{2} \log n\right)$.
- The $\log n$ factor in the time complexity can be avoided by using topological line sweep.
(Edelsbrunner, H. and Guibas, L. J., 1989)


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## Problem

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## Multi-shot Query

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- For a single query line, the problem can be solved in optimal $O(n)$ time.
- We are interested in multi-shot query version.
- Here we are allowed to preprocess the point set so that each query can be answered efficiently.


## Strategy

- We use duality to solve the problem.


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- Since our definition of duality does not allow vertical line, we need to have separate algorithm for handling vertical query lines.


## Nearest Neighbor Query Vertical Line

- Sort the points of the given set $\mathcal{P}$ on their
$x$-coordinates. This can be done in $O(n \log n)$ time using $O(n)$ space.


## Nearest Neighbor Query Vertical Line

- Sort the points of the given set $\mathcal{P}$ on their
$x$-coordinates. This can be done in $O(n \log n)$ time using $O(n)$ space.
- Using binary search find the position of the query vertical line $x=\alpha$ in the sorted array. This will take
 $O(\log n)$ time.


## Nearest Neighbor Query Vertical Line

- Sort the points of the given set $\mathcal{P}$ on their
$x$-coordinates. This can be done in $O(n \log n)$ time using $O(n)$ space.
- Using binary search find the position of the query vertical line $x=\alpha$ in the sorted array. This will take $O(\log n)$ time.
- Then a pair of scan from $\alpha$ towards left and right determine the nearest neighbor in constant time.


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## Farthest Neighbor Vertical Query Line

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## Result

## Lemma

With $O(n \log n)$ preprocessing time using $O(n)$ space, nearest and farthest neighbors of a query vertical line can be found in $O(\log n)$ time.

## Farthest Neighbor of a Non-Vertical Query Line

- Suppose the problem is to report the farthest neighbor of a given query line which is non-vertical.


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- Suppose the problem is to report the farthest neighbor of a given query line which is non-vertical.
- As the preprocessing step, compute the upper envelope and the lower envelope of the set of lines dual to the given set of points $\mathcal{P}$. This can be done in in $O(n \log n)$ time using $O(n)$ space as mentioned previously.


## Farthest Neighbor of a Non-Vertical Query Line

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## Farthest Neighbor of a Non-Vertical Query Line

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- Given a query line $I$, shoot a vertical ray from the point $D_{l}$ in upward and downward direction and find the intersection points with the upper and the lower envelope respectively.
- This can be done in
 $O(\log n)$ time by using two binary searches on the arrays $E_{u}$ and $E_{l}$ holding the envelopes.


## Result

## Lemma

With $O(n \log n)$ preprocessing time using $O(n)$ space, farthest neighbors of a query non-vertical line can be found in $O(\log n)$ time.

## Nearest Neighbor of a Query Non-vertical Line

- Let $\mathcal{L}$ be the set of lines which are dual to the points of the given set $\mathcal{P}$. Also let $D_{l}$ be the point dual to to the query non-vertical line $/$.


## Nearest Neighbor of a Query Non-vertical Line

- Let $\mathcal{L}$ be the set of lines which are dual to the points of the given set $\mathcal{P}$. Also let $D_{l}$ be the point dual to to the query non-vertical line $l$.
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- Let $f$ be the cell of the arrangement $A(\mathcal{L})$ containing $D_{l}$.


## Nearest Neighbor of a Query Non-vertical Line

- Let $\mathcal{L}$ be the set of lines which are dual to the points of the given set $\mathcal{P}$. Also let $D_{l}$ be the point dual to to the query non-vertical line $l$.
- Let $A(\mathcal{L})$ be the arrangement of lines of the set $\mathcal{L}$.
- Let $f$ be the cell of the arrangement $A(\mathcal{L})$ containing $D_{l}$.
- Then one of the points corresponding to the lines just above $D_{l}$ is the nearest neighbor of I in the primal plane.


## Point Location Problem

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## Point Location Problem

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- With standard data structure for storing an arrangement of lines, point location problem can be solved in optimal $O(\log n)$ time.
- So with standard data structure, nearest neighbors of a non-vertical query line can be determined in $O(\log n)$ time. The reqired preprocessing time and space is $O\left(n^{2}\right)$.
- Here we describe an algorithm for point location using levels of arrangement.


## Point Location Using Level Structure

- First compute the levels of the arrangement $A(\mathcal{L})$ in $O\left(n^{2} \log n\right)$ time using $O\left(n^{2}\right)$ space.


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- Let $\lambda_{\theta}$ be the linear array containing vertices and edges of level $\theta, \theta=0,1, \ldots, n$, of the arrangement $A(\mathcal{L})$.


## Point Location Using Level Structure

- Create a balanced binary search tree $T$, called the primary structure, whose nodes correspond to the levels $\theta, 0 \leq \theta \leq n$. Each node of $T$, representing a level $\theta$, is attached with the corresponding array $\lambda_{\theta}$, called the secondary structure. This requires $O(n \log n)$ time and $O(n)$ space.



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## Point Location Using Level Structure

- Given the query line $I$, we perform two level binary search on the tree $T$ with the point $D_{l}$.



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## Point Location Using Level Structure

- Given the query line $I$, we perform two level binary search on the tree $T$ with the point $D_{l}$.
- This will enable us to locate the two edges just above and below $D_{l}$.
- Time complexity for performing this point location is $O\left(\log ^{2} n\right)$.


## Complexity

## Lemma

With $O\left(n^{2} \log n\right)$ preprocessing time and $O\left(n^{2}\right)$ space, nearest neighbor of a non-vertical query line can be determined in $O\left(\log ^{2} n\right)$ time.

## Complexity

## Lemma

With $O\left(n^{2} \log n\right)$ preprocessing time and $O\left(n^{2}\right)$ space, nearest neighbor of a non-vertical query line can be determined in $O\left(\log ^{2} n\right)$ time.

- It may be mentioned that the query time complexity can be reduced to $O(\log n)$, by using a data structuring technique, called fractional cascading.
(Lueker, G. S., 1978)

Thank you!

